



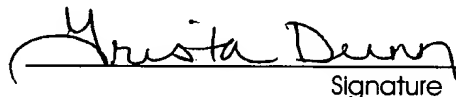
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PACFI-001C1
SERIAL NO.: 09/440,903
FILED: 11/06/99

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TRISTA DUNN

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PAPERS TRANSMITTED HEREWITH:

1. TRANSMITTAL LETTER of 2 pgs submitted in triplicate;
2. FEE TRANSMITTAL of 1 pg. in duplicate;
3. AMENDMENT, PETITION AND FEE TO DELETE AND/OR ADD TO ORIGINAL ERRONEOUSLY NAMED INVENTORS IN DECLARATION (37 C.F.R. 1.48 (A)(2)) of 2 pgs.;
4. Check for \$130.00 (Petition Fee);
5. SUBSTITUTE DECLARATION of 3 pgs.;
6. STATEMENTS/DECLARATIONS UNDER 37 C.F.R. § 1.324(b) of 2 pgs.;
7. CERTIFICATE OF MAILING of 1 pg.; and
8. Return Postcard.



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BOX AMENDMENT
ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

on 6-29-00
(Date)

Trista Dunn
Signature

TRISTA DUNN

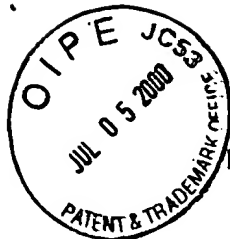
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PAPERS TRANSMITTED HERewith:

1. TRANSMITTAL LETTER of 2 pgs submitted in triplicate;
2. FEE TRANSMITTAL of 1 pg. in duplicate;
3. AMENDMENT/RESPONSE TO OFFICE ACTION of 7 pgs;
4. TERMINAL DISCLAIMER of 1 pg.;
5. Check for \$55.00 (Term. Disclaimer fee/Small Entity);
6. PETITION FOR EXTENSION OF TIME UNDER 37 C.F.R. 1.136(A) of 1 pg.;
7. Check for \$55.00 (One month extension fee; Copies of Substitute Declaration and accompanying Statements Under 37 C.F.R. § 1.324(b) of 5 pgs. (filed under separate cover);
8. and Return Postcard.

B

Practitioner's Docket No. PACFI-001C1**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: PAUL H. BENNETT ET AL.

Application No.: 09/440,903 ✓ Group No.: 3727

Filed: 11/06/00

Examiner: S. CRONIN

For: TAMPER-EVIDENT CONTAINER CLOSURE

RECEIVED**JUL 07 2000**Assistant Commissioner for Patents
Washington, D.C. 20231OFFICE OF PETITIONS
DEPUTY A/C PATENTS

**AMENDMENT, PETITION AND FEE TO DELETE AND/OR
ADD TO ORIGINAL ERRONEOUSLY NAMED INVENTOR(S) IN
DECLARATION
(37 C.F.R. § 1.48(a))**

NOTE: 37 C.F.R. § 1.48(f) states: "If the correct inventor or inventors are not named on filing a nonprovisional application under § 1.53(b) without an executed oath or declaration under § 1.63, the later submission of an executed oath or declaration under § 1.63 during the pendency of the application will act to correct the earlier identification of inventorship.

"(2) If the correct inventor or inventors are not named on filing a provisional application without a cover sheet under § 1.51(c)(1), the later submission of a cover sheet under § 1.51(c)(1) during the pendency of the application will act to correct the earlier identification of inventorship."

1. This amendment and petition is to correct the incorrect original naming of inventor(s) in the declaration under 37 C.F.R. § 1.48(a) as set forth and filed on _____ (date).
2. Addition and/or Deletion of Inventor(s)

(check and complete all applicable items)

☒ Add the following previously unnamed person(s) as inventor(s) of this application:
THOM M. PERLMUTTER

☐ Delete the following previously incorrectly named inventor(s) _____

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. § 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

MAILING**FACSIMILE**

☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: 6-29-00

☐ transmitted by facsimile to the Patent and Trademark Office.

Trista Dunn
Signature

TRISTA DUNN

(type or print name of person certifying)

07/06/2000 SLUANG1 00000064 09440903

01 FC:122

130.00 0P

(Amendment, Petition and Fee to Delete and/or Add to Original Erroneously Named Inventor(s) in Declaration
(37 C.F.R. § 1.48(a) [9-22]—page 1 of 2)

#8
7/24/00
P/L/04/01

3. Attachments

Attached is

(a) A statement from: PAUL H. BENNETT
AND THOM M. PERLMUTTER
(check items below that apply)

☒ each person being added as an inventor that the error in inventorship occurred without deceptive intention on his or her part. 37 C.F.R. § 1.48(a)(1).

☐ each person being deleted as an inventor that the error in inventorship occurred without deceptive intention on his or her part. 37 C.F.R. § 1.48(a)(1).

(b) a declaration by each of the actual inventor(s) as required by 37 C.F.R. § 1.63 (or as permitted by §§ 1.42, 1.43, OR 1.47). 37 C.F.R. § 1.48(a)(2).

(c) written assent of the assignee (if any of the original inventors executed an assignment) 37 C.F.R. 1.48(a)(4)

(d)

(check the following item, if all the inventor(s) remaining after this petition and amendment is accepted are not the inventor(s) of the subject matter of all the claim(s) now being claimed.)

☐ Attached is an explanation of the facts, including the ownership of all the claim(s) being claimed in this application, including the ownership of all the claim(s) at the time the last claimed invention was made (Declaration of Inventorship and Common Ownership of Claims in Application).

4. Fee Payment (37 C.F.R. § 1.17(i))

The fee required is paid as follows:

☒ Enclosed is a check for \$130.00.

☒ Charge Account 19-4330 for any fee deficiency.

☐ Charge Account _____ the sum of \$130.00.

Date: 6/29/00

Reg. No.: 34,823

Tel. No.: (949) 855-1246

Customer No.: 007663


SIGNATURE OF PRACTITIONER

MARK B. GARRED

(type or print name of practitioner)

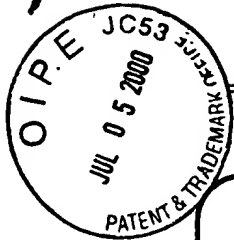
STETINA BRUNDA GARRED & BRUCKER

24221 Calle de la Louisa, 4th Flr.

P.O. Address

Laguna Hills, CA 92653-3642

(Amendment, Petition and Fee to Delete and/or Add to Original Erroneously Named Inventor(s) in Declaration
(37 C.F.R. § 1.48(a) [9-22]—page 2 of 2)



3727 \$

Please type a plus sign (+) inside this box → ☐ +

PTO/SB/21 (6-98)
Approved for use through 09/30/2000. OMB 0851-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/440,903	
	Filing Date	11/06/99	
	First Named Inventor	PAUL H. BENNETT	
	Group Art Unit	3727	
	Examiner Name	S. CRONIN	
Total Number of Pages in This Submission	22	Attorney Docket Number	PACFI-001C1

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Response (6 pgs.) <input type="checkbox"/> After final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request of 1 pg. <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input checked="" type="checkbox"/> Terminal Disclaimer (1 pg.) <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): <div>SEE ANNEX A ATTACHED HERETO</div>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	MARK B. GARRED STETINA BRUNDA GARRED & BRUCKER
Signature	
Date	6/29/00

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <input type="text"/>			
Typed or printed name	TRISTA DUNN		
Signature	<input type="text"/>	Date	<input type="text"/>

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FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$) 110.00

Complete if Known

Application Number	09/440,903
Filing Date	11/06/99
First Named Inventor	PAUL H. BENNETT ET AL.
Examiner Name	S. CRONIN
Group / Art Unit	3727
Attorney Docket No.	PACFI-001C1

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																																																																																																																																																																			
<p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number: 19-4330</p> <p>Deposit Account Name: STETINA BRUNDA</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>		<p>3. ADDITIONAL FEES</p> <table border="1"><thead><tr><th>Large Entity Fee Code (\$)</th><th>Small Entity Fee Code (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr><tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet.</td><td></td></tr><tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr><tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for reexamination</td><td></td></tr><tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr><tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr><tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td>55.</td></tr><tr><td>116</td><td>380</td><td>216</td><td>190</td><td>Extension for reply within second month</td><td></td></tr><tr><td>117</td><td>870</td><td>217</td><td>435</td><td>Extension for reply within third month</td><td></td></tr><tr><td>118</td><td>1,360</td><td>218</td><td>680</td><td>Extension for reply within fourth month</td><td></td></tr><tr><td>128</td><td>1,850</td><td>228</td><td>925</td><td>Extension for reply within fifth month</td><td></td></tr><tr><td>119</td><td>300</td><td>219</td><td>150</td><td>Notice of Appeal</td><td></td></tr><tr><td>120</td><td>300</td><td>220</td><td>150</td><td>Filing a brief in support of an appeal</td><td></td></tr><tr><td>121</td><td>260</td><td>221</td><td>130</td><td>Request for oral hearing</td><td></td></tr><tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr><tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - unavoidable</td><td></td></tr><tr><td>141</td><td>1,210</td><td>241</td><td>605</td><td>Petition to revive - unintentional</td><td></td></tr><tr><td>142</td><td>1,210</td><td>242</td><td>605</td><td>Utility issue fee (or reissue)</td><td></td></tr><tr><td>143</td><td>430</td><td>243</td><td>215</td><td>Design issue fee</td><td></td></tr><tr><td>144</td><td>580</td><td>244</td><td>290</td><td>Plant issue fee</td><td></td></tr><tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr><tr><td>123</td><td>50</td><td>123</td><td>50</td><td>Petitions related to provisional applications</td><td></td></tr><tr><td>126</td><td>240</td><td>126</td><td>240</td><td>Submission of Information Disclosure Stmt</td><td></td></tr><tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr><tr><td>146</td><td>690</td><td>246</td><td>345</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr><tr><td>149</td><td>690</td><td>249</td><td>345</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr><tr><td colspan="5">Other fee (specify) <u>TERMINAL DISCLAIMER</u></td><td>55.</td></tr><tr><td colspan="5">Other fee (specify) _____</td><td></td></tr><tr><td colspan="5">SUBTOTAL (3) (\$) 110.00</td><td></td></tr></tbody></table>		Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	105	130	205	65	Surcharge - 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103	18	203	9	Claims in excess of 20																																																																																																																																																																																	
102	78	202	39	Independent claims in excess of 3																																																																																																																																																																																	
104	260	204	130	Multiple dependent claim, if not paid																																																																																																																																																																																	
109	78	209	39	** Reissue independent claims over original patent																																																																																																																																																																																	
110	18	210	9	** Reissue claims in excess of 20 and over original patent																																																																																																																																																																																	
SUBTOTAL (2) (\$) N/A																																																																																																																																																																																					

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	MARK B. GARRED	Registration No. (Attorney/Agent)	34,823
Signature		Telephone	(949) 853-1246
		Date	6/29/00

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